

2022 SAIF-CAFR Financial Research Summer Camp

Recommended Reading List for “Corporate Governance and Financial Misconduct”

Professor Xiaoyun Yu

All the papers in this list can be found at www.ssrn.com, www.jstor.org, and/or at the publisher’s website.

Beneish, M.D., 1999, The detection of earnings manipulation, *Financial Analyst Journal* 55, 24–36.

Dyck, Alexander, Adair Morse, and Luigi Zingales, 2010, Who Blows the Whistle on Corporate Fraud? *Journal of Finance* 65, 2213-2253.

Dyck, Alexander, Adair Morse, and Luigi Zingales, 2020. How pervasive is corporate fraud? Working paper, University of California Berkeley.

Fulmer, Sarah, April Knill, and Xiaoyun Yu, 2022, Negation of Sanctions: The Personal Effect of Political Contributions, *Journal of Financial and Quantitative Analysis* forthcoming.

Giannetti, Mariassunta, and Tracy Wang, 2016, Corporate Scandals and Household Stock Market Participation, *Journal of Finance* 71, 2591-2636.

Hail, Luzi, Ahmed Tahoun, and Clare Wang, 2018, Corporate scandals and regulation, *Journal of Accounting Research* 56, 617–671.

Karpoff, J., D. S. Lee, and G. Martin, 2008, The Consequences to Managers for Financial Misrepresentation, *Journal of Financial Economics* 88, 193-215.

Karpoff, J., A. Koester, D. S. Lee, and G. Martin, 2017, Proxies and Databases in Financial Misconduct Research, *The Accounting Review* 92, 129-163.

Kedia Simi and Thomas Philippon, 2009, The Economics of Fraudulent Accounting. *Review of Financial Studies* 22, 2169–2199.

Kedia, S, and S. Rajgopal, 2011, Do the SEC’s Enforcement Preferences Affect Corporate Misconduct? *Journal of Accounting and Economics* 51, 259-278.

Peng, L. and A. Röell, 2014, Managerial Incentives and Stock Price Manipulation, *Journal of Finance* 69, 487–526.

Povel, Paul, Rajdeep Singh, and Andrew Winton, 2007, Booms, Busts, and Fraud, *Review of Financial Studies* 20, 1219-1254.

Tian, Xuan, Gregory Udell, and Xiaoyun Yu, 2016, Disciplining Delegated Monitors: When Venture Capitalists Fail to Prevent Fraud by Their IPO Firms, *Journal of Accounting and Economics* 61, 526-544.

Wang, Tracy, 2013, Corporate Securities Fraud: Insights from a New Empirical Framework, *Journal of Law, Economics and Organization* 29, 535-568.

Wang, Tracy, Andrew Winton, and Xiaoyun Yu, 2010, Corporate Fraud and Business Conditions: Evidence from IPOs, *Journal of Finance* 65, 2255-2292.

Yu, Frank, and Xiaoyun Yu, 2011, Corporate Lobbying and Fraud Detection, *Journal of Financial and Quantitative Analysis* 46, 1865-1891.

Yu, Frank, 2008, Analyst Coverage and Earnings Management, *Journal of Financial Economics* 88, 245-271.